



# How to Donate Stocks — to ACE —

The following process is for the donation of stocks and bonds only.

## **DTC Free Delivery**

Contact the firm that has your brokerage account. That firm will have you submit instructions to transfer the shares to the ACE account. The included LOA document may be used to request transfer of shares if your firm does not require you to use one of their own forms.

## **The information you will need:**

Clearing Firm Number: 0443

Clearing Firm Name: Pershing LLC

Pershing Acct. Number: 5MC 181440 – American Caribbean Experience

***American-Caribbean Experience is a registered 501c3 non-profit organization and all donations including the donation of stocks and/or bonds is 100% tax deductible. All donors will receive a year-end giving statement as verification of all monetary and stock/bond donations.***

For more information, please contact our Stateside Office at (877) 500-5768 or [Office@acexperience.org](mailto:Office@acexperience.org).

## **American-Caribbean Experience**

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### DTC FREE RECEIVE

Letter of Authorization (LOA) gifting (transfer) stock and/or bond from another clearing firm to Pershing

**For Filing Purposes Only :**

**5MC & 5UW = Scan & upload to E-Docs Pershing Description: DTC Free Receive**

**14B, 14C, OBK = Scan & upload to E-Docs Advisory to Description: 14 – DTC Free Receive**

**If not scanning and uploading fax to: 561-472-2096**

- **Pershing does NOT process DTC Free Receive** = To process this transfer, this original completed and signed Letter of Authorization should be given to the delivering (holding) clearinghouse Margin Journal Processor
- The account held at the other clearing firm does not have to have the same title/registration you are transferring to, as long as the client at the delivery firm signs this LOA
- A Pershing "Account Transfer" form will need to be completed and signed to transfer cash or mutual funds held at another clearing firm to Pershing because these positions **cannot** be transferred via DTC Free Receive

#### Section I – Rep and Client Information

**Registered Representative:** \_\_\_\_\_ **RR Telephone Number:** \_\_\_\_\_

#### Section II – Delivering Firm Clearing Firm Information

**Name of delivery clearing firm:** \_\_\_\_\_

**Delivery Firm DTC Clearing #: (four digits)** \_\_\_\_\_

**Delivery Account Holder Client name(s):** \_\_\_\_\_

**Client account number at delivering clearinghouse:** \_\_\_\_\_

#### Section II – I would like to gift or transfer the following:

Amount of shares(s)	Name of stock or bond Company	Symbol and CUSIP#
_____	_____	_____
_____	_____	_____
_____	_____	_____

#### Section III – To the following:

**Pershing LLC Clearingfirm**

**Pershing DTC clearing#: 0443**

**Pershing Account Number:** \_\_\_\_\_ - \_\_\_\_\_

#### Section IV – Client Signature(s)

\_\_\_\_\_  
**Signature of owner**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Signature of Joint Owner (if any) Date**

